Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY							
PATENT APPLICATION							
TRANSMITTAL							

Attorney Docket No.		0899-0044
	nventor	
Title	Shelf Stable \	Vitamin C in Oatmeal Products
		EV/ 22470770E LIC

(Only for new nonprovisional applications under 37 C	FR 1.53(b)) E	xpress	Mail Label No.	EV 321	011	33 03	ノ	
APPLICATION ELEMENTS	ADI	1DCDDTD.	Assistant Cor Box Patent A		oner for Pater	nts		
See MPEP chapter 600 concerning utility patent applica			Washington,		231			
Fee Transmittal Form (e.g., PTO/SB/17)  (Submit an original and a duplicate for fee processing)		7	CD-ROM or CD-			table or	21904 U.S. PTO 10/613861	
2. Applicant claims small entity status. See 37 CFR 1.27.	Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission					3. 861		
Specification [Total Pages 20	]]	(# 7	applicable, all neces  Computer Rea		CRF)		3.°E	
(preferred errangement set forth below)     Descriptive title of the invention	b. Specification Sequence Listing on:							
<ul> <li>Cross Reference to Related Applications</li> <li>Statement Regarding Fed sponsored R &amp;</li> </ul>	D		· ·	M or CD-R (2		s); or	219	
<ul> <li>Reference to sequence listing, a table, or a computer program listing appendix</li> </ul>			ii. 🔲 paper					
<ul> <li>Background of the Invention</li> </ul>		C.	Statements ve					
<ul> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings (if filed)</li> </ul>			ACCOMPANYIN					
<ul><li>- Detailed Description</li><li>- Claim(s)</li></ul>		9. [	Assignment Page 37 CFR 3.73(b)	•	heet &	document(s)	)	
- Abstract of the Disclosure		10.	(when there is			Attorney		
4. Drawing(s) (35 U.S.C. 113) [ Total Sheets	s ]	11.	English Transla		ent <i>(if a</i>	pplicable) Copies of I	DS.	
5. Oath or Declaration [ Total Pages	]	12.	Information Dis		L	Citations		
a. Newly executed (original or copy) 13. Preliminary Amendment								
b. Copy from a prior application (37 CFR (for continuation/divisional with Box 18	completed)	14.	Return Receipt (Should be spe	cifically item	ized)	•		
DELETION OF INVENTOR(S		15.	Certified Copy (if foreign prior	of Priority Do	ocumer ))	nt(s)		
Signed statement attached deleting invernamed in the prior application, see 37 CF		16.	Nonpublication	Request un	der 35	U.S.C. 122	uor.	
1.63(d)(2) and 1.33(b).		1	(b)(2)(B)(i). Ap or its equivaler		attach	10/10/3B	135	
6. Application Data Sheet. See 37 CFR 1.76		17.	Other:	······································		······································		
18. If a CONTINUING APPLICATION, check appropriat or in an Application Data Sheet under 37 CFR 1.76:	e box, and supply	the requ	uisite information bei	low and in a	orelimir	nary amendm	ent,	
	uation-in-part (CIP)		of prior application No.:					
Prior application information: Examiner:			Group Art U					
For CONTINUATION OR DIVISIONAL APPS only: The entire Box 5b, is considered a part of the disclosure of the accomp	npanying continuati	ion or di	visional application a	nd is hereby i	ncorpor	ated by refere	nuer ence.	
The incorporation can only be relied upon when a portion to 19. O	ORRESPONDEN			d application	parts.			
	por No. or Amelikareo							
Name Cook, Alex, McF	arron, Manz	o, Cu	mmings, & Me	enier ———				
200 West Adams								
Address Suite 2850								
Only			State Illinois			Zip Code   60606 0		
Country USA	Telepi	hone	(312) 236-85	Fo	ax	(312) 230-6	5170	
Name (Print/Type) Michael D. Zaroni	Michael D. Zaronias Registration No. (Attorney/Agent) P-54,564							
Signature	Michael D. Zaronias  Registration No. (Attorney/Agent)  P = 54,564  Date 07/03/2003					$\Box$		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PTO/SB/17 (11-01)
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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

(\$) 13/2 .00

Complete if Known						
Application Number						
Filing Date	7/3/2003					
First Named Inventor						
Examiner Name						
Group Art Unit						
Attorney Docket No.	0899-0044					

THE STATE OF THE PART OF THE P							
METHOD OF PAYMENT (check all that apply)	_	FEE CALCULATION (continued)					
Check Credit card Money Other N	lone	3. ADDITIONAL FEES					
Deposit Account:	ı	Large Entity Small Entity					
Deposit	1 <b>i</b>	Fee Code	Fee e (\$)	Fee		Fee Description	Fee Paid
Account Number 50 - 1039	! !	105	130	205	65	Surcharge - late filing fee or oath	
Account Name Cook. ALEX. McFARRON et al.		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)	ŀ	139	130	139	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments			2,520		2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this appli	cation	112	920*		920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee	J	114	320	112	320	Examiner action	
to the aboveidentified deposit account.	$\dashv$	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION		115	110	215	55	Extension for reply within first month	]
1. BASIC FILING FEE	ŀ	116	400	216	200	Extension for reply within second month	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1	117	920	217	460	Extension for reply within third month	
Code (\$) Code (\$) Fee P		118	1,440	218	720	Extension for reply within fourth month	
101 740   201 370   Utility filing fee 106 330   206 165   Design filing fee	<u>'-</u>	128	1,960	228	980	Extension for reply within fifth month	
107 510 207 255 Plant filing fee	-	119	320	219	160	Notice of Appeal	
108 740 208 370 Reissue filing fee	<b>_</b>	120	320	220	160	Filing a brief in support of an appeal	
114 160 214 80 Provisional filing fee	$\square$ 1	121	280	221	140	Request for oral hearing	
SUBTOTAL (4) (C) TO	1	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 750. a	24	140	110	240	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REIS	SUE	141	1,280	241	640	Petition to revive - unintentional	
Extra Claims below Fee			1,280	242	640	Utility issue fee (or reissue)	
Total Claims   54 -20** = 34   X   18 = 616	=	143	460	243	230	Design issue fee	
Claims La	==1	144	620	244	310	Plant issue fee	
Multiple Dependent		122	130	122	130	Petitions to the Commissioner	
Large Entity   Small Entity	Į	123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Fee Fee Fee Fee <u>Fee Description</u>	l	126	180	126	180	Submission of Information Disclosure Stmt	
Code (\$)   Code (\$)   103 18   203 9   Claims in excess of 20	ı	581	40	581	40	Recording each patent assignment per property (times number of properties)	
102 84 202 42 Independent claims in excess of	3	146	740	246	370	Filing a submission after final rejection	
104 280 204 140 Multiple dependent claim, if not	oaid					(37 CFR § 1.129(a))	
109 84 209 42 ** Reissue independent claims over original patent		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
110 18 210 9 ** Reissue claims in excess of 2	0	179	740	279	370	Request for Continued Examination (RCE)	
and over original patent	,[	169	900	169	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 612. 00 Other fee (specify)					(4)		
**or number previously paid, if greater; For Reissues, see abo	ve	*Red	uced by	Bas	ic Filing	Fee Paid SUBTOTAL (3) (\$)	

SUBMITTED BY	Complete (if applicable)			
Name (Print/Type)	MICHAEL D. ZARONIAS	Registration No. P-54.564	Telephone	(312) 236-8500
Signature	Mell		Date	07/03/2003

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Date of Deposit 7/3/03

I hereby certify that this paper or fee is being deposited with United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.